Trajectory and System Analysis For Outer-Planet Solar-Electric Propulsion Missions

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Outer-planet mission and systems analyses are performed using three next generation solarelectric ion thruster models. The impact of variations in thruster model, flight time, launch vehicle, propulsion and power systems characteristics is investigated. All presented trajectories have a single Venus gravity assist and maximize the delivered mass to Saturn or Neptune. The effect of revolution ratio – the ratio of Venusian orbital period to the flight time between launch and flyby dates – is also discussed.

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INTRODUCTION

With the success of the Deep Space 1 mission, Solar Electric Propulsion Systems^{1,2} (SEPS) were ushered into the mainstream of propulsion system candidates for various interplanetary missions.^{3,4} The long-duration, high-efficiency operation of SEPS allows new ways to explore the inner and outer solar system, and enables missions that can be difficult and expensive to reach with chemical propulsion systems.

This paper provides a parametric survey of a set of mission and system factors that affect the delivered mass for SEPS vehicles considered for unmanned outer-planet science missions. The mission characteristics examined in this paper include delivered mass to the destination as a function of launch vehicle, total flight time, and gravity assist timing. Each of these factors plays a significant role in the resultant value of the optimized SEPS performance metrics.

To facilitate a systematic examination of SEPS performance, a baseline SEPS vehicle and mission are chosen. The basic performance requirements of this system are derived from the NRA-01-OSS-01.⁵ Table 1 provides the baseline mission and vehicle definitions.

Table 1 Baseline mission and system definition

Parameter	Definition				
Target Planet	Saturn and Neptune				
Reference	1400 kg (Saturn)				
Payload	850 kg (Neptune)				
Delta-V	≤ 14 km/sec for reference				
	payloads				
Launch Vehicle	Delta IV M, Atlas V M				
Power	30 kWe @ 1 AU EOL;				
	25 kWe max into PPU				
Thrusters	4 thrusters + 1 spare				
	6.1 kWe @ 3900 sec Isp				
Grids	Molybdenum grids				
PPU	4 PPUs + 1 spare				
	Cross strapping PPUs				
	SOA heat pipe radiators				
Tank fraction	5%				
Propellant	Supercritical Xe				

This paper also provides the details of a single Venus gravity assist to Saturn and Neptune. In exploring the outer solar system planets, a planetary gravity assist (GA) has commonly been used since one or more GAs have the potential to save propellant, reduce time of flight (TOF), or both. Because of these advantages, many previous interplanetary missions (e.g., Mariner 10, Voyager I, II, Galileo, Cassini and NEAR) exploited the GA.⁶ In this study, a single Venus GA is included in all Earth-Venus-Saturn (EVS) and Earth-Venus-Neptune (EVN) trajectories.

The trajectories presented are generated using SEPTOP (Solar Electric Propulsion Trajectory Optimization Program)⁷ which calculates a trajectory that maximizes the delivered mass to a destination. That delivered mass may include the scientific payload along with aerocapture equipment, propulsion system, and supporting bus. Given equal mission and systems assumptions for a SEPS versus chemical mission comparison (except for capture method), a

SEPS/aerocapture combination generally can deliver greater payload to a set destination (assuming an attained "small enough" aerocapture mass fraction) than a chemical mission. However, early tradeoff results would probably indicate lower system reliability and higher system development cost for aerocapture when compared with a chemical capture.

OPTIMIZATION

The trajectory optimization problem with variable thrust and thrust direction has been previously investigated.^{7,8} The problem is typically formulated to optimize a number of parameters, but in this research the final delivered mass to Saturn or Neptune is maximized.

SEPTOP was used for the mission analysis of Deep Space 1 at the Jet Propulsion Laboratory. SEPTOP is a two-body, Sun-centered, low-thrust trajectory optimization program for preliminary mission feasibility studies that provides relatively accurate performance estimates. The program determines a numerical solution to a two point boundary value problem that satisfies intermediate boundary constraints. In SEPTOP, the user estimates initial conditions, then uses a shooting method to integrate the trajectory from an initial time to final time. SEPTOP computes an error at the final time and uses it to correct the estimate of the initial conditions. This process is repeated until the error becomes smaller than the prescribed tolerance. The required inputs are TOF, nominal epoch, array power at Earth departure (P₀), maximum power into Power Processing Unit (PPU), flyby radius, and launch vehicle specifications. SEPTOP can model variable thrust and mass flow rate as a function of power into the PPU. The power generated from a solar array is modeled as a function of the spacecraft's distance from the Sun. Thruster and solar array models are therefore also required as inputs.

Many parameters remain free to be optimized, e.g. launch date, launch energy (C₃), flyby dates, and initial values for Lagrange multipliers. Trajectories generated with SEPTOP represent locally optimal solutions in the parameter space. It is therefore possible for multiple locally optimal solutions to exist possessing similar SEPTOP input parameters. The characteristics of such solutions are explained and categorized using the Saturn and Neptune example missions. Trajectories are first categorized by launch opportunity. In some situations, two locally optimal trajectories with similar inputs exist: one with a launch opportunity that occurs early on in the given launch window (early), the other with a late launch opportunity (late). Second, the trajectories are categorized by their revolution ratio (R-ratio). The R-ratio is the number of Venus revolutions for one revolution of a spacecraft around the Sun. For instance, a 3:1 R-ratio is one where roughly three Venus years occur during the period from launch to flyby of Venus by the spacecraft. In this paper, the performance of trajectories for each launch opportunity type and R-ratio is investigated. TOF is one of the main mission design drivers. Determining the minimum TOF trajectory that delivers the reference payload is commonly of interest.

SOLAR ELECTRIC PROPULSION SYSTEM

The primary spacecraft propulsion sources in this study are near-term next generation ion propulsion systems. Three different models are compared for their performance: High-Thrust-To-Power (HTTP) I_{sp} 3900 sec, High- I_{sp} -To-Power (HITP) I_{sp} 3900 sec, and HITP I_{sp} 4070 sec. ¹⁰ The definition of the performance of a trajectory is the delivered mass for a given TOF. The I_{sp} values used in these engine descriptions are the values at each respective engine's maximum operating power (P_{max}) of 6.1 kWe. The minimum operating power (P_{min}) is 1.11 kWe for all three thruster models. The thrust and mass flow rate for the thruster models are given in

Patterson et al.¹⁰ Of the three models, the HTTP I_{sp} 3900 sec thruster has the largest thrust and mass flow rate for operation between the given P_{min} and P_{max} .

Delivered mass is first investigated for the three thruster models in order to determine the best performing thruster model for the EVS and EVN missions. Figure 1 shows the delivered mass versus TOF for a late type, 3:1 R-ratio, EVS mission using three thruster models. Figure 2 shows the performance for a late type, 2:1 R-ratio, EVN mission. Among the three models, the HTTP I_{sp} 3900 sec model consistently delivered more mass to the destination. Therefore, the HTTP I_{sp} 3900 sec model is selected as the default thruster for much of the analysis in this paper. The objective of the thruster comparison is to determine a default thruster model for this paper, not to decide which throttling mode is generally superior. Also, during an actual mission a thruster may be operated in different throttling modes (high thrust or high I_{sp}) depending on the power available at any given moment, however this dual mode of operation is not considered here.

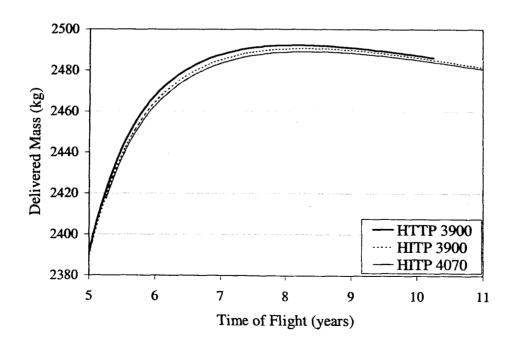


Figure 1 Delivered mass vs. TOF: EVS mission, 3:1 R-ratio, late type, Delta-IV 4240.

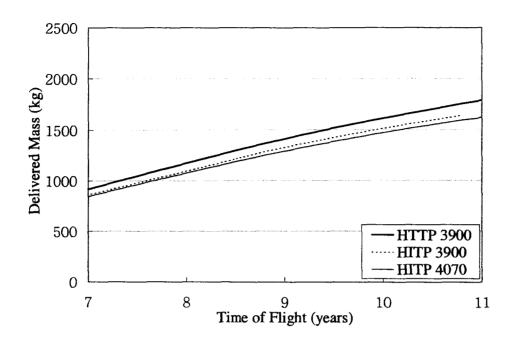


Figure 2 Delivered mass vs. TOF: EVN mission, 2:1 R-ratio, late type, Delta-IV 4240.

The delivered payload mass versus TOF plots in Figure 3 and Figure 4 show the delivered payload mass sensitivity of the SEPS vehicle to the number of thrusters. The definition of the delivered payload mass is the delivered mass to the destination minus the bus mass. In this analysis, the PPU input power increased as the number of thrusters is increased to utilize all of the thrusters. The PPU input power at Earth departure for representative cases is shown in Figure 3 and Figure 4. The analysis includes two launch vehicles: the Atlas 431 and the Delta-IV 4450. From Figure 3, the delivered payload mass by an Atlas 431 increases with increasing power and increasing number of thrusters, but decreases as the number of thrusters increases to 6. Overall, 5 thrusters appear to provide superior performance whereas 6 thrusters provides a small improvement in TOF below 5 years. Similar trends hold true for the Delta 4450 as displayed in Figure 4, but there appears to be no advantage in TOF for 6 thrusters.

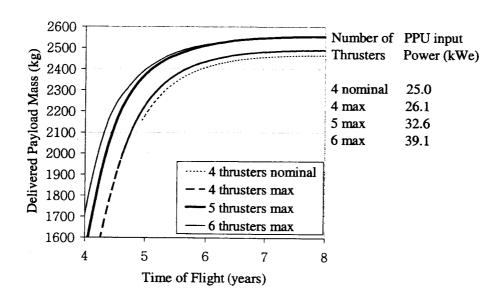


Figure 3 Delivered payload mass dependence on number of thruster for EVS Mission, HTTP 3900 thruster, 3:1 R-ratio, Atlas 431.

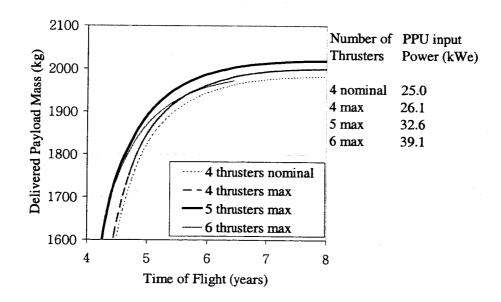


Figure 4 Delivered payload mass dependence on number of thruster for EVS Mission, HTTP 3900 thruster, 3:1 R-ratio, Delta-IV 4450.

POWER SYSTEM

Large, high efficiency, multi-junction GaAs arrays provide propulsion power and vehicle housekeeping power. An articulation of the arrays in one axis relative to the sun provides array feathering to control array temperature and to prevent the solar flux from exceeding a maximum allowable solar flux on the arrays during the high solar intensity portion of the trajectory (e.g. spacecraft at < 1 AU from the Sun during Venus gravity assist). Prolonged solar array operation at high temperatures and array exposure to solar radiation degrade the efficiency of the photovoltaic cells. For this analysis a cell efficiency degradation factor of 2% average per year is applied. In addition, sizing the array area by 5% larger than required for the 30 kWe array output requirement provides further design margin. Able Engineering, a solar array manufacturer, provided Ultra-Flex array modeling characteristics. The Ultra-Flex model represents the present state-of-the-art in lightweight solar array technology. This model can be represented as solar flux approximately dropping off as $1/r^2$, yet also includes effects for low intensity light and low temperature (LILLT).¹¹ The LILLT modeling effects make a significant impact in the overall performance expected from the array. The housekeeping power is assumed to be covered by the 5 additional kWe from the array.

A PPU¹² converts power from the solar array and delivers electrical power at proper voltage and current to the thruster array. PPU efficiency is less than 100% (varying as a function of PPU input power), which results in losses when processing power from the solar array. Power to the thrusters is provided by the PPU, therefore the power generated by the solar array needs to be greater than the number of thrusters multiplied by P_{max} if multiple thrusters are to be operated at their maximum power.

In order to observe the effect of the power system characteristics on a mission, the array power is varied in an EVS and an EVN mission. Figure 5 shows the array power at Earth departure (P_0) versus delivered mass. This figure illustrates that Saturn can be reached with less P_0 for a given delivered mass than Neptune. This result also provides a design reference for the solar array sizing. If an optimal P_0 to deliver the most payload mass exists, it can be found once the power, propulsion, and bus sizing is computed for the range of P_0 .

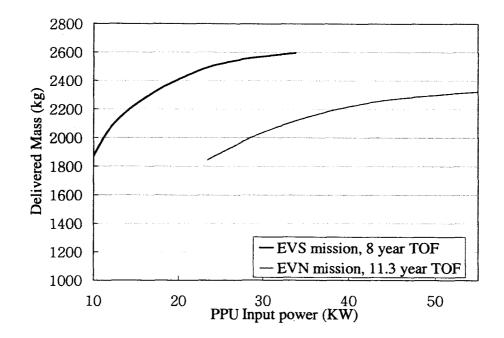


Figure 5 Delivered mass vs. P₀ variation: EVS mission (8 year TOF, 3:1 R-ratio) and EVN mission (11.3 year TOF, 2:1 R-ratio), Delta-IV 4240.

Figure 6 displays the delivered payload mass in an EVN mission. Assumptions include the following: 11.3 year TOF, 4 thrusters, 25 kWe for maximum PPU input power, 2:1 R-ratio, and variable P₀. A minimum P₀ of approximately 26.3 kWe allows the 850 kg reference payload to

be delivered to Neptune in 11.3 years. The delivered payload mass increases with P₀ (yet with diminishing returns) until reaching a maximum at approximately 48.2 kWe. Beyond 48.2 kWe, the delivered payload mass begins to decrease due to the SEPS propulsion system maximum power level remaining constant at 25 kWe, but the array, array support structure, and primary bus structure masses all continue to increase. The reference array power of 30 kWe, near the minimum value of 26.3 kWe, provides little delivered payload (or dry mass) margin. Yet, the margin in payload gained from an increase in array power must be weighed against a significant increase in actual array cost (from inherently high specific cost \$/kg) in larger, more complex arrays, and in new, large array development risks.

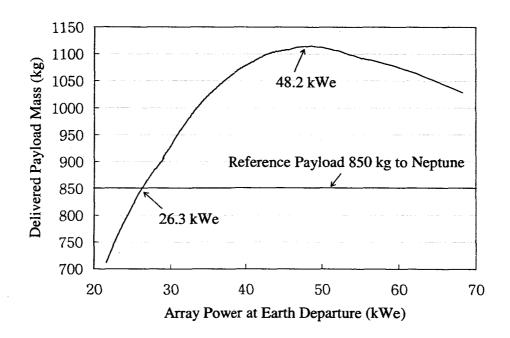


Figure 6 Delivered payload mass dependence on array power for EVN mission, Delta-IV 4240.

Next, trajectories for an EVN mission that have the same TOF, launch type, and R-ratio, but different P₀ are shown in Figure 7. In this figure, the trajectories are almost the same, but the

thrusting phase is longer in the higher P_0 trajectory. This is due to the fact that in the high P_0 trajectory, the spacecraft has power available to it – greater than P_{min} to operate a single thruster – at farther distances from the Sun. This difference results in the higher P_0 trajectory providing more delivered mass to the destination since a lower C_3 is required for the trajectory. When given the option, the best performing trajectories tend to favor the higher efficiency SEPS over the less efficient launch vehicle to provide energy increase for the trajectory.

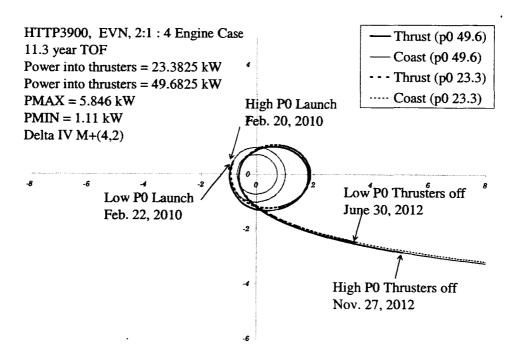


Figure 7 Trajectories for two P₀ levels: EVN mission, 11.3 year TOF, 2:1 R-ratio, Delta-IV 4240.

LAUNCH VEHICLE

The choice of launch vehicle significantly impacts mission cost, mission reliability, and delivered mass performance. This factor is explicitly brought to the foreground given that the trajectory optimization process adopted for this analysis ties the launch vehicle delivery

capability directly into the optimization process. Generally, with all other assumptions equivalent, the larger launch vehicle will deliver the greater mass to a given destination; however, the mission goal is to deliver the reference payload to the destination for a minimum cost. Thus, an optimization process must ultimately be undertaken to find the best compromise between launch vehicle cost and delivery of reference payload. This paper does not examine this optimization process, but does look at the question of predicted performance over a range of TOF for several current launch vehicles. This paper examines Delta-IV¹³ medium and Atlas-V¹⁴ medium launch vehicles.

Figure 8 provides the Delta-IV 4240 launch vehicle data in the form of injected mass to a particular launch C₃. The SEPTOP trajectory optimization tool uses the injected mass versus C₃ constraint to enforce the specific performance characteristics of given launch vehicles. With this constraint, however, SEPTOP can only search a range of C₃ (or injected mass) that satisfies the constraint. This may lead to an undesirable result of decreasing performance for increasing injected mass, especially when the SEPS and power system are incapable to thrust the increased injected mass within a given TOF. If there is no launch vehicle constraint and the injected mass can vary with a fixed C₃, then there will be an optimal injected mass that results in the largest delivered mass with the given SEPS. Care should be taken to avoid working with an injected mass that is greater than the optimal injected mass. In this paper, the selected range of launch vehicles does not produce an injected mass greater than an optimal injected mass within the given TOF range.

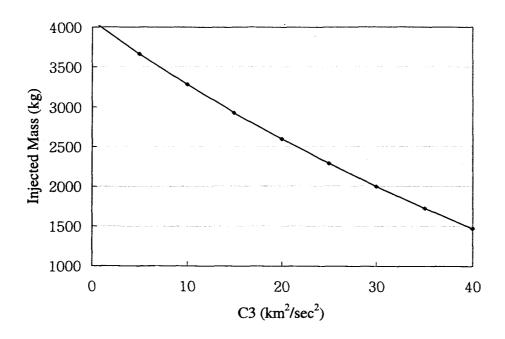


Figure 8 Injected mass versus C₃ for Delta-IV 4240.

For the reference payloads targeted (and for significant payload variations about those reference payloads), the "Medium" class of launch vehicles provides adequate lift capability. A set of Boeing Delta-IV Medium and Atlas-V Medium launch vehicles were selected for investigation. The two Delta launch vehicles examined included the Delta-IV 4240 and 4450, and the two Atlas cases included the Atlas-V 421 and 431. The resulting delivered payload mass to Saturn with four HTTP I_{sp} 3900 sec thrusters is depicted in Figure 9. First, note that the delivered payload mass increased between consecutive cases averages from 10% to 12%. Second, note the impact that launch vehicle margins produce, where an 11% performance increase for the Delta-IV 4240 is observed when launch vehicle margins are reduced from 10% to 2%. The majority of this 11% occurs due to the change in launch vehicle margin, with a smaller portion of this increase due to increase in duty cycle.

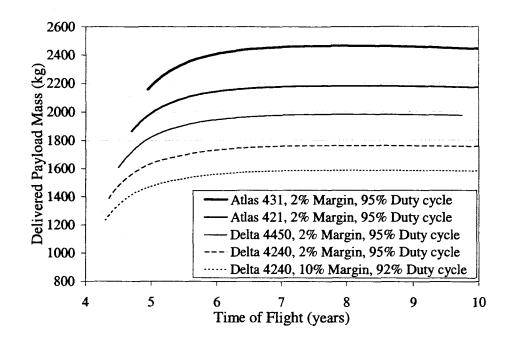


Figure 9 Performance dependence on launch vehicles for an EVS mission.

TRAJECTORY CLASSIFICATION AND ANALYSIS

Figure 10 presents two trajectories with the same TOF (11.3 year) and R-ratio (2:1) but different launch dates for an EVN mission. Since their performances are similar, this indicates that there are two nearly equivalent launch opportunities. Among these two types, the late type trajectory may deliver slightly less mass, but it uses less on-board propellant. Therefore, the late type is used as the default launch type for further analysis since the required propellant tank is less massive and the operational time of the thruster is shorter.

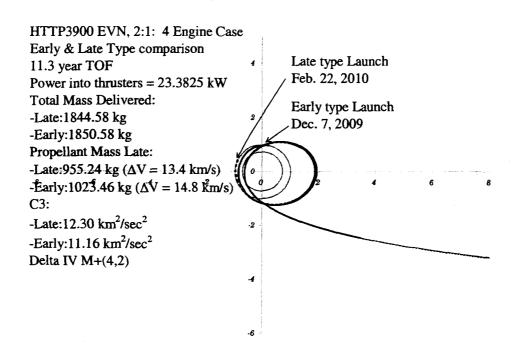


Figure 10 Trajectories for two launch dates: EVN mission, 11.3 year TOF, 2:1 R-ratio, Delta-IV 4240.

Because of the flexibility of SEPS in mission design, there are possible launch dates between the early and the late launch dates. Figure 11 shows the performance variation for trajectories with the same TOF (8.5 year) at various launch dates. This figure indicates that a broad launch window exists with less than 10 kg of performance penalty between the early and the late launch date. For the trajectories between the two fully optimal solutions, the launch date is not optimized but given as a fixed input. A similar but more extensive launch date influence for a Mars missions were reported by Williams and Coverstone.¹⁵

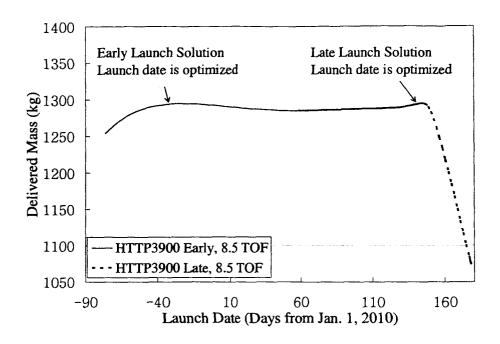


Figure 11 Delivered mass vs. launch date: EVN mission, HTTP 3900 sec., 8.5 year TOF, 2:1 R-ratio, Delta-IV 4240.

Figure 12 shows three trajectories for an EVN mission with three different R-ratios. The TOF of all trajectories in the figure is 9.6 years. It is clear that a spacecraft on the trajectory with the largest R-ratio spends the most time thrusting before the flyby occurs. Given the nature of SEPS performance – namely, that orbital energy addition is more efficient near the Sun due to greater power availability and control authority – it would seem that spending more time in close proximity to the Sun before heading outbound toward the destined target would be beneficial in delivering more mass. However, a larger R-ratio trajectory typically needs more launch energy, which in turn means a larger proportion of the total required energy being provided by an inefficient launch vehicle rather than the more efficient low-thrust engine. Trade-offs between the launch energy and the propellant mass result in an optimal R-ratio of 3:1 for this TOF 9.6 year mission.

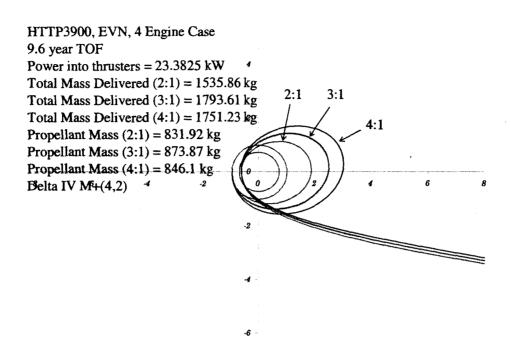


Figure 12 Trajectories with three different R-ratios for an EVN mission, Delta-IV 4240.

The optimal R-ratio also depends on the TOF of a mission. Figure 13 shows the performances of the three R-ratio trajectories for an EVN mission. At a 7.2 year TOF, the performances of the 2:1 and 3:1 R-ratio trajectories coincide, while at an 8.05 year TOF, the performance of 2:1 and 4:1 R-ratio trajectories coincide. Smaller R-ratio trajectories are superior in short TOF missions due to the fact that larger R-ratio trajectories have to spend longer periods of time in flight before the flyby, thereby leaving very little time after the flyby to reach their destination. This constraint forces larger R-ratio trajectories to have lower performance than smaller R-ratio trajectories in short TOF missions.

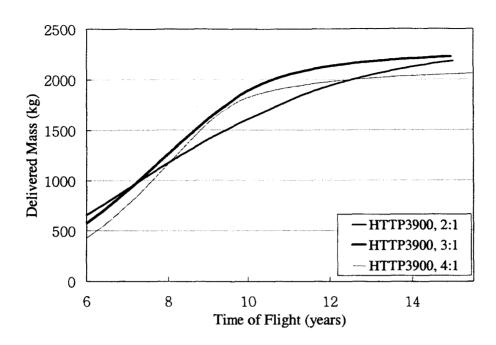


Figure 13 Delivered mass vs. TOF: EVN mission, R-ratio comparison, Delta-IV 4240.

The performance of 2:1 R-ratio trajectories is similarly superior in longer TOF missions compared to the 4:1 R-ratio trajectories, though for different reasons. In Figure 14, the launch C_3 plots for the EVN mission are shown. The launch C_3 is larger for the larger R-ratio trajectories for TOF > 13 years. This leads to poorer performance for the larger R-ratio trajectories. Onboard propellant usage decreases with increased C_3 ; however, the initial injected mass also decreases along with the increased C_3 . For an EVN mission, the 3:1 R-ratio trajectories are the best performing trajectories for intermediate TOF (7 ~ 15 years); however, in general, the best performing R-ratio is determined by TOF, the flyby and destination planets, and the characteristics of the SEPS and the launch vehicle. Some characteristics of the different R-ratio trajectories are compared in Table 2. Although it is not included here, other characteristics – e.g., the hyperbolic excess velocity at Venus GA, and the allocation of flight time before and after flyby – are important to understanding the R-ratio influence.

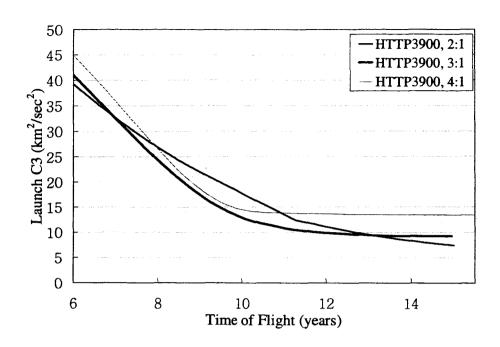


Figure 14 Launch energy vs. TOF: EVN mission, R-ratio comparison, Delta-IV 4240.

Table 2 shows detailed trajectory data at three TOF's for the mission presented in Figure 13 and Figure 14. The 2:1 R-ratio trajectory has the lowest launch C₃ a for long (15 year) TOF case, while the 3:1 R-ratio trajectory has the lowest for an intermediate (9.6 year) TOF case. The lowest C₃ contributes to produce a 3:1 R-ratio trajectory that is superior for the intermediate TOF by injecting the largest mass with the same launch vehicle. The cut-off date in Table 2 is the date when the final thrust phase ends. The cut-off velocity is the heliocentric velocity of the spacecraft at the cut-off date. A larger R-ratio trajectory has a later cut-off date than a shorter R-ratio trajectory with the same TOF. This makes the cut-off velocity faster because the time left to the arrival date is shorter for the larger R-ratio trajectory.

Table 2 Detailed characteristics of Earth-Venus-Neptune trajectories

Time of flight (years)	R-Ratio	Launch Date	Cut-off Date	Arrival Date	$\frac{\text{C}_3}{(\text{km}^2/\text{sec}^2)}$	Cut-off Velocity (km/sec)
7	2:1	June 20, 2010	May 13, 2012	June 20, 2017	32.69	29.5
	3:1	June 5, 2010	Dec. 11, 2012	June 5, 2017	32.55	32.2
	4:1	June 9, 2010	July 11, 2013	June 8, 2017	35.88	35.6
9.6	2:1	May 7, 2010	June 17, 2012	Dec. 13, 2019	19.37	23.8
	3:1	Mar. 27, 2010	Jan. 19, 2013	Nov. 2, 2019	14.39	25.0
	4:1	Mar. 12, 2010	Mar. 22, 2013	Oct. 17, 2019	15.524	26.3
15	2:1	Mar. 7, 2010	July 19, 2012	Mar. 6, 2025	7.43	20.2
	3:1	Feb. 11, 2010	Sept. 1, 2012	Feb. 10, 2025	9.25	28.6
	4:1	Feb. 10, 2010	Feb. 14, 2013	Feb. 10, 2025	13.43	36.3

Table 3 shows detailed trajectory characteristics for an EVS mission. The results are similar to Table 2 in trend; however, in general, the launch C₃ variations between the different R-ratio trajectories are larger than in Table 2. The reasons for this contrast are the different total energy increase requirement of the EVS and EVN mission, and the relatively short TOF (2.95, 4.6 and 6 year) of the EVS mission. The cut-off date is later, and cut-off velocity is higher for larger R-ratio trajectories for all TOF as in EVN missions.

Table 3 Detailed characteristics of Earth-Venus-Saturn trajectories

Time of						Cut-off
Time of					C_3	Velocity
flight (years)	R-Ratio	Launch Date	Cut-off Date	Arrival Date	(km^2/sec^2)	(km/sec)
2.95	2:1	Mar. 3, 2011	Nov 11, 2012	Feb. 13, 2014	29.10	25.3
	3:1	Mar. 20, 2011	May 3, 2013	Mar. 2, 2014	39.7	35.3
	4:1	May 20,2011	Sept. 1, 2013	May 2, 2014	53.6	56.3
4.6	2:1	Dec. 8, 2010	Jan. 21, 2013	July 15, 2015	7.35	17.8
	3:1	Nov. 8, 2010	Apr. 7, 2013	June 15,2015	7.40	24.5
	4:1	Nov. 27, 2010	Nov. 1, 2013	July 4, 2015	12.85	28.2
6	2:1	Nov. 1, 2010	Feb. 14, 2013	Oct. 31, 2016	2.59	16.2
	3:1	Oct. 21, 2010	Feb. 22, 2013	Oct. 21,2016	6.21	25.7
	4:1	Oct. 30, 2010	July 23, 2013	Oct. 30, 2016	11.26	36.0

The EVS missions show similar results in an R-ratio analysis. Figure 15 and Figure 16 show the performances and C₃ of the three R-ratio trajectories for an EVS mission. The 3:1 R-ratio trajectories are superior to 2:1 R-ratio trajectories for intermediate TOF (4 ~ 5.5 years) for reasons similar to the EVN case; but the performance comparison between 2:1 and 4:1 R-ratio trajectories is different from the EVN mission. The reason for this difference is the different total energy increment (launch energy + on-board thrust energy) for each mission. An EVS mission requires a smaller total energy increment than an EVN mission, while the C₃ to make an R-ratio trajectory does not differ significantly between the EVS and the EVN mission. This phenomenon produces 4:1 R-ratio trajectories that consistently perform worse than 2:1 R-ratio trajectories in the EVS mission.

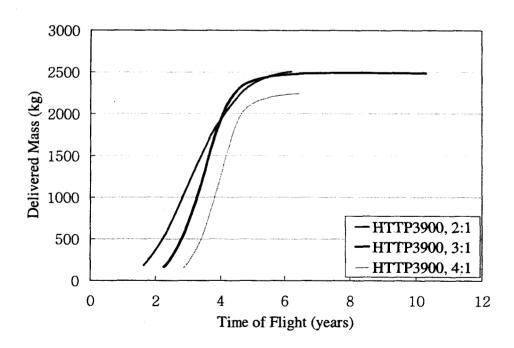


Figure 15 Delivered mass vs. TOF: EVS mission, R-ratio comparison, Delta-IV 4240.

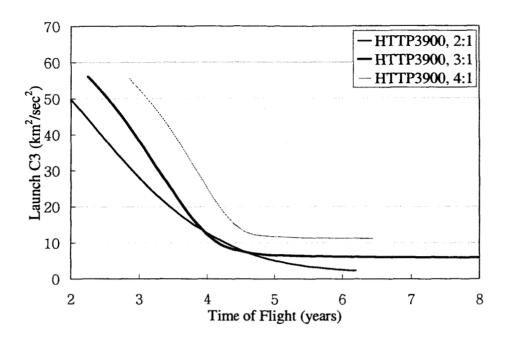


Figure 16 Launch energy vs. TOF: EVS mission, R-ratio comparison, Delta-IV 4240.

In designing an actual mission trajectory, the total operation time of the SEPS should be considered since there is a limitation in total operation time in current SEPS design and the required operation time may be longer than the limit for the state-of-the-art thruster design.¹⁶

CONCLUSION

The effect of several mission and systems factors on SEPS delivered mass to outer-planetary destinations has been quantified. The mission factors examined include time of flight, destination, launch vehicle, and trajectory characteristics. The system factors examined include number of thrusters and available power. The performance along with the power variation can be used for solar array and spacecraft sizing to determine the available scientific payload mass.

Multiple optimal trajectories are generated and their characteristics analyzed. Optimal flyby timing for a given mission is also observed. The performance difference between the early and late launch type is not significant, and a relatively wide launch opportunity between the early and late launch exists that shows consistent performance. Finally, total delivered mass estimates to Saturn and Neptune that are feasible with developing SEPS technology are presented.

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